

Chapter 1: Phase in Quantum Mechanics

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$$i\hbar \frac{\partial}{\partial t} |\psi\rangle = H(t) |\psi\rangle \quad (1)$$

$$|\psi(t)\rangle = |\psi(t)\rangle e^{i\phi(t)} \quad (2)$$

1 Berry's Phase

H 不含时

$$H |n\rangle = E_n |n\rangle \quad (3)$$

E_n 是本征值, $|n\rangle$ 是本征态。本征态构成正交完备基, 可以用来展开

$$|\psi(t)\rangle = \sum_n c_n(t) |n\rangle \quad (4)$$

$$c_n(t) = \langle n | \psi(t) \rangle \quad (5)$$

$$|\psi(t)\rangle = \sum_n \langle n | \psi(t) \rangle |n\rangle = \sum_n |n\rangle \langle n | \psi(t) \rangle \quad (6)$$

得到单位算符 $\sum_n |n\rangle \langle n| = 1$ 。将 $|\psi\rangle(t)$ 代入薛定谔方程

$$i\hbar \frac{\partial}{\partial t} \sum_n c_n(t) |n\rangle = H \sum_n c_n(t) |n\rangle = \sum_n c_n(t) H |n\rangle = \sum_n c_n(t) E_n |n\rangle \quad (7)$$

将 $\langle m|$ 作用在方程两边

$$i\hbar \frac{\partial}{\partial t} \sum_n c_n(t) \langle m | n \rangle = \sum_n c_n(t) E_n \langle m | n \rangle \quad (8)$$

$$i\hbar \frac{\partial}{\partial t} c_m(t) = E_m c_m(t) \quad (9)$$

$$i\hbar \frac{1}{c_m(t)} \frac{\partial}{\partial t} c_m(t) = E_m \quad (10)$$

$$\int_0^t i\hbar \frac{1}{c_m(t')} \frac{\partial}{\partial t'} c_m(t') dt' = \int_0^t E_m dt' \quad (11)$$

$$i\hbar |\ln c_m(t) - \ln c_m(0)| = E_m(t)t \quad (12)$$

$$c_m(t) = c_m(0) e^{-i \frac{E_m(t)}{\hbar} t} \quad (13)$$

$$|\psi(t)\rangle = \sum_n c_n(t) |n\rangle = \sum_n c_n(0) e^{-i\frac{E_n(t)}{\hbar}t} |n\rangle \quad (14)$$

设 $|\psi(t)\rangle$ 在一个态上演化

$$c_n(t=0) = \delta_{n,m} \quad (15)$$

$$|\psi(t)\rangle = \sum_n \delta_{n,m} e^{-i\frac{E_n(t)}{\hbar}t} |n\rangle = e^{-i\frac{E_m(t)}{\hbar}t} |m\rangle \quad (16)$$

Slow varying Hamiltonian

$$H(t=0) |n(t=0)\rangle = E_n(t=0) |n(t=0)\rangle \quad (17)$$

当 $H(t) = H$ 时,

$$|\psi(t)\rangle = e^{-i\frac{E_n}{\hbar}t} |n\rangle \quad (18)$$

当 $H(t)$ 含时时, 近似

$$|\psi(t)\rangle \doteq \exp\left[-\frac{i}{\hbar} \int_0^t E_n(t') dt' + i\gamma_n(t)\right] |n(t)\rangle = c_n(t) |n(t)\rangle \quad (19)$$

$$H(t) |\psi(t)\rangle = i\hbar \frac{\partial}{\partial t} |\psi(t)\rangle \quad (20)$$

$$H(t)c_n(t) |n(t)\rangle = i\hbar \frac{\partial}{\partial t} c_n(t) |n(t)\rangle = i\hbar \dot{c}_n(t) |n(t)\rangle + i\hbar c_n(t) |\dot{n}(t)\rangle = E_n(t)c_n(t) |n(t)\rangle \quad (21)$$

$$\dot{c}_n(t) = c_n(t) \left[-\frac{i}{\hbar} E_n(t) + i\dot{\gamma}_n(t) \right] \quad (22)$$

代入 Eq.(21)

$$E_n(t)c_n(t) |n(t)\rangle = E_n(t)c_n(t) |n(t)\rangle - \hbar\dot{\gamma}_n(t)c_n(t) |n(t)\rangle + i\hbar c_n(t) |\dot{n}(t)\rangle \quad (23)$$

即

$$\dot{\gamma}_n(t) |n(t)\rangle = i |\dot{n}(t)\rangle \quad (24)$$

哈密顿量依赖时间往往是通过形式 $H(t) = H(\vec{R}(t))$

$$H(\vec{R}(t)) |n(\vec{R}(t))\rangle = E_n(\vec{R}(t)) |n(\vec{R}(t))\rangle \quad (25)$$

$$|\dot{n}(\vec{R}(t))\rangle = \frac{d}{dt} \vec{R}(t) \cdot \nabla_{\vec{R}} |n(\vec{R}(t))\rangle \quad (26)$$

$$\dot{\gamma}_n(t) |n(\vec{R}(t))\rangle = i \frac{d}{dt} \vec{R}(t) \cdot \nabla_{\vec{R}} |n(\vec{R}(t))\rangle = i \frac{d}{dt} \vec{R}(t) \cdot |\nabla_{\vec{R}} n(\vec{R}(t))\rangle \quad (27)$$

将 $\langle n(\vec{R}(t)) |$ 作用在方程两边

$$\dot{\gamma}_n(t) = i \langle n(\vec{R}(t)) | \nabla_{\vec{R}} n(\vec{R}(t)) \rangle \cdot \dot{\vec{R}}(t) \quad (28)$$

$$\begin{aligned} \gamma_n(t) &= i \int_0^t \langle n(\vec{R}(t')) | \nabla_{\vec{R}} n(\vec{R}(t')) \rangle \cdot \dot{\vec{R}}(t') dt' \\ &= i \int_{\vec{R}(0)}^{\vec{R}(t)} \langle n(\vec{R}(t')) | \nabla_{\vec{R}} n(\vec{R}(t')) \rangle d\vec{R}(t') \\ &= i \int_0^t \langle n(t') | \dot{n}(t') \rangle dt' \end{aligned} \quad (29)$$

代入近似解

$$|\psi(t)\rangle \doteq \exp\left[-\frac{i}{\hbar} \int_0^t E_n(t') dt'\right] \exp\left[-\int_0^t \langle n(t') | \dot{n}(t') \rangle dt'\right] |n(t)\rangle \quad (30)$$

$$\alpha_n(t) = -\frac{1}{\hbar} \int_0^t E_n(t') dt' \quad (31)$$

$$\gamma_n(t) = i \int_0^t \langle n(t') | \dot{n}(t') \rangle dt' \neq 0 \quad (32)$$

$\alpha_n(t)$ 被称为动力学因子 (Dynamic phase factor), $\gamma_n(t)$ 被称为几何因子 (Geometry phase factor), 也叫 Berry's Phase.

给定一特殊情况, $\vec{R}(0) = \vec{R}(T), H(0) = H(T)$, T 时刻

$$\gamma_n(T) = i \int_0^T \langle n(\vec{R}(t')) | \nabla_{\vec{R}} n(\vec{R}(t')) \rangle \cdot d\vec{R}(t') \quad (33)$$

环路积分

$$\gamma_n(C) = i \oint_C \langle n(\vec{R}) | \nabla_{\vec{R}} n(\vec{R}) \rangle \cdot d\vec{R} \quad (34)$$

2 Adiabatic Condition 绝热条件

我们已经得到

$$|\psi(t)\rangle \doteq \exp\left[-\frac{i}{\hbar} \int_0^t E_n(t') dt'\right] \exp\left[-\int_0^t \langle n(t') | \dot{n}(t') \rangle dt'\right] |n(t)\rangle \quad (35)$$

那么上述近似在什么情况下是一个好的近似? $H(t)$ 变化缓慢 (极端情况 $H(t) = H$).

假定

$$|\psi(0)\rangle = |m\rangle \quad (36)$$

即 $|\psi\rangle$ 在态 $|m\rangle$ 上演化。当 $t \leq 0$ 时, $H(t) = H(0)$; 当 $t > 0$ 时, $H(t)$ 含时。

$$H(0) |m\rangle = E_m(0) |m\rangle \quad (37)$$

将 $|\psi\rangle$ 用一组正交完备积 $|l\rangle$ 展开

$$|\psi(t)\rangle = \sum_l c_l(t) |l(t)\rangle \quad (38)$$

其中

$$c_l(t) = a_l(t) \exp\left[-\frac{i}{\hbar} \int_0^t E_l(t') dt'\right] \quad (39)$$

将 $|\psi(t)\rangle$ 代入薛定谔方程 $i\hbar \frac{\partial}{\partial t} |\psi\rangle = H |\psi\rangle$

$$\begin{aligned} & i\hbar \sum_l \left\{ \dot{a}_l \exp\left[-\frac{i}{\hbar} \int_0^t E_l(t') dt'\right] |l\rangle - \frac{i}{\hbar} a_l E_l \exp\left[-\frac{i}{\hbar} \int_0^t E_l(t') dt'\right] |l\rangle + a_l \exp\left[-\frac{i}{\hbar} \int_0^t E_l(t') dt'\right] |\dot{l}\rangle \right\} \\ & = \sum_l a_l \exp\left[-\frac{i}{\hbar} \int_0^t E_l(t') dt'\right] H(t) |l\rangle = \sum_l a_l \exp\left[-\frac{i}{\hbar} \int_0^t E_l(t') dt'\right] E_l |l\rangle \end{aligned} \quad (40)$$

即

$$i\hbar \sum_l \left\{ \dot{a}_l \exp\left[-\frac{i}{\hbar} \int_0^t E_l(t') dt'\right] |l\rangle + a_l \exp\left[-\frac{i}{\hbar} \int_0^t E_l(t') dt'\right] |\dot{l}\rangle \right\} = 0 \quad (41)$$

将 $\langle n|$ 作用在方程左右两边

$$\sum_l \dot{a}_l(t) \exp\left[-\frac{i}{\hbar} \int_0^t E_l(t') dt'\right] \delta_{n,l} + \sum_l a_l(t) \exp\left[-\frac{i}{\hbar} \int_0^t E_l(t') dt'\right] \langle n(t)|\dot{i}(t)\rangle = 0 \quad (42)$$

即

$$\dot{a}_n(t) \exp\left[-\frac{i}{\hbar} \int_0^t E_n(t') dt'\right] + \sum_l a_l(t) \exp\left[-\frac{i}{\hbar} \int_0^t E_l(t') dt'\right] \langle n(t)|\dot{i}(t)\rangle = 0 \quad (43)$$

$$\dot{a}_n(t) = -a_n(t) \langle n(t)|\dot{n}(t)\rangle - \sum_{l(\neq n)} a_l(t) \exp\left\{\frac{i}{\hbar} \int_0^t [E_n(t') - E_l(t')] dt'\right\} \langle n(t)|\dot{i}(t)\rangle \quad (44)$$

由于我们讨论的是 $|\psi(0)\rangle = |m\rangle$, 当 $|n\rangle = |m\rangle$ 时

$$\dot{a}_m(t) = -a_m(t) \langle m(t)|\dot{m}(t)\rangle - \sum_{l(\neq m)} a_l(t) \exp\left\{\frac{i}{\hbar} \int_0^t [E_m(t') - E_l(t')] dt'\right\} \langle m(t)|\dot{i}(t)\rangle \quad (45)$$

好的近似要求对于任意 $n \neq m$, $a_n(t)$ 都很小, 同样 $\dot{a}_n(t)$ 也很小

$$\begin{aligned} \dot{a}_n(t) &= -a_n(t) \langle n(t)|\dot{n}(t)\rangle - \sum_{l(\neq n)} a_l(t) \exp\left\{\frac{i}{\hbar} \int_0^t [E_n(t') - E_l(t')] dt'\right\} \langle n(t)|\dot{i}(t)\rangle \\ &= -a_n(t) \langle n(t)|\dot{n}(t)\rangle - \sum_{l(\neq n,m)} a_l(t) \exp\left\{\frac{i}{\hbar} \int_0^t [E_n(t') - E_l(t')] dt'\right\} \langle n(t)|\dot{i}(t)\rangle \\ &\quad - a_m(t) \exp\left\{\frac{i}{\hbar} \int_0^t [E_n(t') - E_m(t')] dt'\right\} \langle n(t)|\dot{m}(t)\rangle \end{aligned} \quad (46)$$

当 $n, l \neq m$ 时, $a_n(t)$ 和 $a_l(t)$ 是小量, 因此第一项和第二项是小量, 而 $a_m(t)$ 是大量, 因此要求 $\langle n|\dot{m}\rangle$ 是小量。

由于 $\langle n|\dot{m}\rangle$ 的量纲是 $[\frac{1}{T}]$, 不能形容大小, 因此我们需要寻找参数组成一个无量纲量, 如

$$\left| \frac{\langle n|\dot{m}\rangle \hbar}{E_n - E_m} \right| \ll 1 \quad (47)$$

可以将它等价写成

$$\left| \frac{\hbar \langle n|\dot{H}|\dot{m}\rangle}{(E_n - E_m)^2} \right| \ll 1 \quad (48)$$

即“绝热条件”。

接下来证明二者等价:

$$H(t)|n(t)\rangle = E_n(t)|n(t)\rangle \quad (49)$$

对时间求导

$$\dot{H}(t)|n(t)\rangle + H(t)|\dot{n}(t)\rangle = \dot{E}_n(t)|n(t)\rangle + E_n(t)|\dot{n}(t)\rangle \quad (50)$$

将 $\langle m|$ 作用在方程两边

$$\langle m(t)|\dot{H}(t)|n(t)\rangle + H(t)\langle m(t)|\dot{n}(t)\rangle = \dot{E}_n(t)\langle m(t)|n(t)\rangle + E_n(t)\langle m(t)|\dot{n}(t)\rangle \quad (51)$$

即

$$\langle m(t)|\dot{H}(t)|n(t)\rangle + E_m\langle m(t)|\dot{n}(t)\rangle = E_n(t)\langle m(t)|\dot{n}(t)\rangle \quad (52)$$

$$\langle m(t)|\dot{H}(t)|n(t)\rangle = [E_n(t) - E_m(t)]\langle m(t)|\dot{n}(t)\rangle \quad (53)$$

$$\langle m(t) | \dot{n}(t) \rangle = \frac{\langle m | \dot{H} | n \rangle}{E_n - E_m} \quad (54)$$

证毕。

$$\dot{a}_m(t) = -a_m(t) \langle m | m \rangle (t) \dot{n}(t) - \sum_{l(\neq m)} a_l(t) \exp \left\{ \frac{i}{\hbar} \int_0^t [E_m(t') - E_l(t')] dt' \right\} \langle m(t) | \dot{l}(t) \rangle \quad (55)$$

$$= -a_m(t) \langle m(t) | \dot{n}(t) \rangle \quad (\text{第二项是小量})$$

$$\ln a_m(t) \Big|_0^t = - \int_0^t \langle m(t') | \dot{n}(t') \rangle dt' \quad (56)$$

$$a_m(t) = \exp \left[- \int_0^t \langle m(t') | \dot{n}(t') \rangle dt' \right] a_m(0) \quad (57)$$

$$\begin{aligned} |\psi(t)\rangle &= \sum_n a_n(t) \exp \left[- \frac{i}{\hbar} \int_0^t E_n(t) dt' \right] |n(t)\rangle \\ &= a_m(t) \exp \left[- \frac{i}{\hbar} \int_0^t E_m(t) dt' \right] |m(t)\rangle \quad (n \neq m \text{ 都是小量}) \end{aligned} \quad (58)$$

$$\begin{aligned} &= a_m(t) e^{i\alpha_m(t)} |m(t)\rangle \\ |\psi(t)\rangle &= e^{i[\alpha_m(t) + \gamma_m(t)]} |m(t)\rangle \end{aligned} \quad (59)$$

Example

已知

$$H(\vec{B}(t)) = -\mu_B \vec{\sigma} \cdot \vec{B}(t) \quad (60)$$

$$\vec{B}(t) = (B_1 \cos 2\omega_0 t, B_1 \sin 2\omega_0 t, B_0) \quad (61)$$

绝热条件成立，求几何因子。

$$\begin{aligned} H(t) &= -\mu_B [B_x \sigma_x + B_y \sigma_y + B_z \sigma_z](t) \\ &= -\mu_B \left(B_x \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} + B_y \begin{bmatrix} 0 & -i \\ i & 0 \end{bmatrix} + B_z \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} \right) (t) \\ &= -\mu_B \begin{bmatrix} B_0 & B_1 e^{-i2\omega_0 t} \\ B_1 e^{i2\omega_0 t} & -B_0 \end{bmatrix} \end{aligned} \quad (62)$$

求解方程

$$H(t) |\psi_{\pm}(t)\rangle = E_{\pm} |\psi_{\pm}(t)\rangle \quad (63)$$

得到本征矢和本征值

$$|\psi_{-}(t)\rangle = \begin{bmatrix} \cos \theta \\ \sin \frac{\theta}{2} e^{-i2\omega_0 t} \end{bmatrix} \quad E_{-}(t) = -\mu_B \sqrt{B_0^2 + B_1^2} \quad (64)$$

$$|\psi_{+}(t)\rangle = \begin{bmatrix} -\sin \theta \\ \cos \frac{\theta}{2} e^{i2\omega_0 t} \end{bmatrix} \quad E_{+}(t) = \mu_B \sqrt{B_0^2 + B_1^2} \quad (65)$$

$$\theta = \tan^{-1} \frac{B_1}{B_0} \quad (66)$$

假定 $|\psi(t)\rangle$ 在 $|\psi_{-}(t)\rangle$ 上演化

$$|\psi(t=0)\rangle = |\psi_{-}(t=0)\rangle \quad (67)$$

$$\begin{aligned}
\gamma_-(t) &= i \int_0^t \langle \psi_-(t') | \dot{\psi}_-(t') \rangle dt' \\
&= i \int_0^t \begin{bmatrix} \cos \theta & \sin \frac{\theta}{2} e^{i2\omega_0 t'} \\ 0 & -i2\omega \sin \frac{\theta}{2} e^{-i2\omega_0 t'} \end{bmatrix} dt' \\
&= i \int_0^t (-2i)\omega_0 \sin^2 \frac{\theta}{2} dt' \\
&= 2\omega_0 t \sin^2 \frac{\theta}{2}
\end{aligned} \tag{68}$$

$$\begin{aligned}
|\psi(t)\rangle &= \exp[i\gamma_-(t)] \exp\left[-\frac{i}{\hbar} \int_0^t E_-(t') dt'\right] |\psi_-(t)\rangle \\
&= \exp\left[i2\omega_0 t \sin^2 \frac{\theta}{2}\right] \exp\left[\frac{i}{\hbar} \mu_B \sqrt{B_0^2 + B_1^2} t\right] |\psi_-(t)\rangle
\end{aligned} \tag{69}$$

$$|\psi(T)\rangle = \exp[i\pi(1 - \cos \theta)] \exp\left[\frac{i}{\hbar} \mu_B \sqrt{B_0^2 + B_1^2} T\right] |\psi_-(t)\rangle \tag{70}$$

几何因子

$$\gamma(t) = \pi(1 - \cos \theta) \tag{71}$$

3 Effective field and Degeneracy point

$$\begin{aligned}
\gamma_n(C) &= \oint_C i \langle n(\vec{R}) | \nabla_{\vec{R}} n(\vec{R}) \rangle \cdot d\vec{R} \\
&= - \oint_C \vec{A}_n(\vec{R}) \cdot d\vec{R} \\
&= - \iint_S [\nabla_{\vec{R}} \times \vec{A}_n(\vec{R})] \cdot d\vec{S} \\
&= - \iint_S \vec{B}_n(\vec{R}) \cdot d\vec{S}
\end{aligned} \tag{72}$$

其中

$$\vec{A}_n(\vec{R}) = i \langle n(\vec{R}) | \nabla_{\vec{R}} n(\vec{R}) \rangle \tag{73}$$

$$\vec{B}_n(\vec{R}) = \nabla_{\vec{R}} \times \vec{A}_n(\vec{R}) \tag{74}$$

$\vec{A}_n(\vec{R})$ 为矢势 (vector potential), $\vec{B}_n(\vec{R})$ 为有效场。由于 $\vec{A}_n(\vec{R})$ 是实数, 接下来证明 $\langle n(\vec{R}) | \nabla_{\vec{R}} n(\vec{R}) \rangle$ 是纯虚数。即证明

$$\langle n(\vec{R}) | \nabla_{\vec{R}} n(\vec{R}) \rangle = - \langle n(\vec{R}) | \nabla_{\vec{R}} n(\vec{R}) \rangle^\dagger \tag{75}$$

已知

$$\langle n(\vec{R}) | n(\vec{R}) \rangle = 1 \tag{76}$$

方程两边作用 $\nabla_{\vec{R}}$

$$\begin{aligned}
\nabla_{\vec{R}} \langle n(\vec{R}) | n(\vec{R}) \rangle &= \langle \nabla_{\vec{R}} n(\vec{R}) | n(\vec{R}) \rangle + \langle n(\vec{R}) | \nabla_{\vec{R}} n(\vec{R}) \rangle \\
&= \langle n(\vec{R}) | \nabla_{\vec{R}} n(\vec{R}) \rangle^\dagger + \langle n(\vec{R}) | \nabla_{\vec{R}} n(\vec{R}) \rangle = 0
\end{aligned} \tag{77}$$

因此

$$\langle n(\vec{R}) | \nabla_{\vec{R}} n(\vec{R}) \rangle = - \langle n(\vec{R}) | \nabla_{\vec{R}} n(\vec{R}) \rangle^\dagger \tag{78}$$

即

$$\langle n(\vec{R}) | \nabla_{\vec{R}} n(\vec{R}) \rangle = i \operatorname{Im} \langle n(\vec{R}) | \nabla_{\vec{R}} n(\vec{R}) \rangle \quad (79)$$

$$\gamma_n(C) = -\operatorname{Im} \oint_C \langle n(\vec{R}) | \nabla_{\vec{R}} n(\vec{R}) \rangle \cdot d\vec{R} \quad (80)$$

$$\vec{A}_n(\vec{R}) = \operatorname{Im} \langle n(\vec{R}) | \nabla_{\vec{R}} n(\vec{R}) \rangle \quad (81)$$

$$\begin{aligned} \vec{B}_n(\vec{R}) &= \nabla_{\vec{R}} \times \vec{A}_n(\vec{R}) = \nabla_{\vec{R}} \times \operatorname{Im} \langle n(\vec{R}) | \nabla_{\vec{R}} n(\vec{R}) \rangle \\ &= \operatorname{Im} \nabla_{\vec{R}} \times \langle n(\vec{R}) | \nabla_{\vec{R}} n(\vec{R}) \rangle \end{aligned} \quad (82)$$

接下来证明 $\vec{B}_n(\vec{R})$ 另外一种形式:

$$\vec{B}_n(\vec{R}) = \operatorname{Im} \sum_{m(\neq n)} \frac{\langle n(\vec{R}) | \nabla_{\vec{R}} H | m(\vec{R}) \rangle \times \langle m(\vec{R}) | \nabla_{\vec{R}} H | n(\vec{R}) \rangle}{[E_n(\vec{R}) - E_m(\vec{R})]^2} \quad (83)$$

证明如下

$$\begin{aligned} \vec{B}_n(\vec{R}) &= \operatorname{Im} \nabla_{\vec{R}} \times \langle n(\vec{R}) | \nabla_{\vec{R}} n(\vec{R}) \rangle \\ &= \operatorname{Im} \left[\langle \nabla_{\vec{R}} n(\vec{R}) | \times | \nabla_{\vec{R}} n(\vec{R}) \rangle + \langle n(\vec{R}) | \nabla_{\vec{R}} \times \nabla_{\vec{R}} n(\vec{R}) \rangle \right] \end{aligned} \quad (84)$$

其中

$$\nabla_{\vec{R}} \times \nabla_{\vec{R}} n(\vec{R}) = 0 \quad (85)$$

当 \vec{v} 不是纯虚数或实数时, $\vec{v} \times \vec{v}^\dagger \neq 0$

$$\begin{aligned} \vec{B}_n(\vec{R}) &= \operatorname{Im} \left[\langle \nabla_{\vec{R}} n(\vec{R}) | \times | \nabla_{\vec{R}} n(\vec{R}) \rangle \right] \\ &= \operatorname{Im} \left[\langle \nabla_{\vec{R}} n(\vec{R}) | \left(\sum_m | m(\vec{R}) \rangle \langle \vec{R} | \right) \times | \nabla_{\vec{R}} n(\vec{R}) \rangle \right] \\ &= \operatorname{Im} \sum_m \left[\langle \nabla_{\vec{R}} n(\vec{R}) | m(\vec{R}) \rangle \times \langle m(\vec{R}) | \nabla_{\vec{R}} n(\vec{R}) \rangle \right] \\ &= \operatorname{Im} \left[\sum_{m(\neq n)} \langle \nabla_{\vec{R}} n(\vec{R}) | m(\vec{R}) \rangle \times \langle m(\vec{R}) | \nabla_{\vec{R}} n(\vec{R}) \rangle + \langle \nabla_{\vec{R}} n(\vec{R}) | n(\vec{R}) \rangle \times \langle n(\vec{R}) | \nabla_{\vec{R}} n(\vec{R}) \rangle \right] \\ &= \operatorname{Im} \sum_{m(\neq n)} \langle \nabla_{\vec{R}} n(\vec{R}) | m(\vec{R}) \rangle \times \langle m(\vec{R}) | \nabla_{\vec{R}} n(\vec{R}) \rangle \end{aligned} \quad (86)$$

又由于我们需要证明

$$\vec{B}_n(\vec{R}) = \operatorname{Im} \sum_{m(\neq n)} \frac{\langle n(\vec{R}) | \nabla_{\vec{R}} H | m(\vec{R}) \rangle \times \langle m(\vec{R}) | \nabla_{\vec{R}} H | n(\vec{R}) \rangle}{[E_n(\vec{R}) - E_m(\vec{R})]^2} \quad (87)$$

即证

$$\frac{\langle m | (\nabla_{\vec{R}} H) | n \rangle}{E_n - E_m} = \langle m | \nabla_{\vec{R}} | n \rangle \quad (88)$$

由

$$H | n \rangle = E_n(\vec{R}) | n \rangle \quad (89)$$

方程两边作用 $\nabla_{\vec{R}}$

$$(\nabla_{\vec{R}} H) | n \rangle + H \nabla_{\vec{R}} | n \rangle = \nabla_{\vec{R}} E_n(\vec{R}) | n \rangle + E_n(\vec{R}) \nabla_{\vec{R}} | n \rangle \quad (90)$$

方程两边作用 $\langle m|$

$$\langle m|(\nabla_{\vec{R}}H)|n\rangle + \langle m|H\nabla_{\vec{R}}|n\rangle = \langle m|\nabla_{\vec{R}}E_n(\vec{R})|n\rangle + \langle m|E_n(\vec{R})\nabla_{\vec{R}}|n\rangle \quad (91)$$

由于 Hamilton 是厄米的，又由于 $\nabla_{\vec{R}}E_n(\vec{R})$ 与内积空间无关

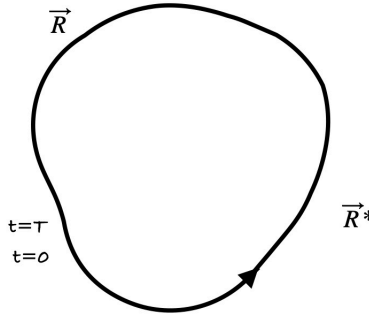
$$\langle m|(\nabla_{\vec{R}}H)|n\rangle + \langle m|E_m(\vec{R})\nabla_{\vec{R}}|n\rangle = \langle m|E_n(\vec{R})\nabla_{\vec{R}}|n\rangle \quad (92)$$

即

$$\langle m|(\nabla_{\vec{R}}H)|n\rangle = (E_n - E_m)\langle m|\nabla_{\vec{R}}|n\rangle \quad (93)$$

$$\langle m|(\nabla_{\vec{R}}H)|n\rangle^\dagger = (E_n - E_m)\langle m|\nabla_{\vec{R}}|n\rangle^\dagger \quad (94)$$

证毕。



假定 $\vec{R}(0) = \vec{R}(T)$, $H(0) = H(T)$, 在 \vec{R} 空间中有一点 \vec{R}^* , \vec{R}^* 不在环上但接近环, 假定 \vec{R}^* 有两个态 $|m\rangle$ 和 $|n\rangle$, $|m\rangle$ 和 $|n\rangle$ 简并且 $|m\rangle \neq |n\rangle$ 。

$$H(\vec{R}^*)|m(\vec{R}^*)\rangle = E_m(\vec{R}^*)|m(\vec{R}^*)\rangle \quad (95)$$

$$H(\vec{R}^*)|n(\vec{R}^*)\rangle = E_n(\vec{R}^*)|n(\vec{R}^*)\rangle \quad (96)$$

$$E_m(\vec{R}^*) = E_n(\vec{R}^*) = E(\vec{R}^*) \quad (97)$$

只考虑简并态 $|m\rangle$ 和 $|n\rangle$, 记为 $|+\rangle$ 和 $|-\rangle$ 。

$$\vec{B}_+(\vec{R}) = \text{Im} \frac{\langle +|\nabla_{\vec{R}}H|-\rangle \times \langle -|\nabla_{\vec{R}}H|+\rangle}{[E_+(\vec{R}) - E_-(\vec{R})]^2} \quad (98)$$

$$\vec{B}_-(\vec{R}) = \text{Im} \frac{\langle -|\nabla_{\vec{R}}H|+\rangle \times \langle +|\nabla_{\vec{R}}H|-\rangle}{[E_-(\vec{R}) - E_+(\vec{R})]^2} \quad (99)$$

$$H(\vec{R}^*)|\pm(\vec{R}^*)\rangle = E_{\pm}(\vec{R}^*)|\pm(\vec{R}^*)\rangle = E(\vec{R}^*)|\pm(\vec{R}^*)\rangle \quad (100)$$

普遍情况下

$$H(\vec{R}) = \begin{bmatrix} H_{++}(\vec{R}) & H_{+-}(\vec{R}) \\ H_{-+}(\vec{R}) & H_{--}(\vec{R}) \end{bmatrix} \quad (101)$$

假定

$$H(\vec{R}^*) = \begin{bmatrix} H_{++}(\vec{R}^*) & 0 \\ 0 & H_{--}(\vec{R}^*) \end{bmatrix} = \begin{bmatrix} E(\vec{R}^*) & 0 \\ 0 & E(\vec{R}^*) \end{bmatrix} \quad (102)$$

取 $H(\vec{R}^*) = 0$, 由于 \vec{R}^* 与 \vec{R} 离得很近, $H(\vec{R})$ 可用 \vec{R}^* 展开

$$H(\vec{R}) = a\sqrt{(\vec{R} - \vec{R}^*)^2} + b\vec{\sigma} \cdot (\vec{R} - \vec{R}^*) \quad (103)$$

移动坐标系令 $\vec{R}^* = 0$, 则

$$H(\vec{R}) = aR + b\vec{\sigma} \cdot \vec{R} \quad (104)$$

令 $a = 0, b = 1$, 简化 $H(\vec{R})$ (只要物理本质存在, 如何简化并不重要)

$$H(\vec{R}) = \vec{\sigma} \cdot \vec{R} = \begin{bmatrix} z & x - iy \\ x + iy & -z \end{bmatrix} \quad (105)$$

求 $H(\vec{R})$ 的本征态和本征值

$$H(\vec{R}) = \vec{\sigma} \cdot \vec{R} = \begin{vmatrix} z - E & x - iy \\ x + iy & -z - E \end{vmatrix} = E^2 - (x^2 + y^2 + z^2) = 0 \quad (106)$$

$$E_+ = -E_- = R \quad (107)$$

$$\nabla_{\vec{R}} H(\vec{R}) = \nabla_{\vec{R}} (\vec{\sigma} \cdot \vec{R}) = \vec{\sigma} \quad (108)$$

代回 Eq.(98), 得

$$\vec{B}_{+x}(\vec{R}) = \text{Im} \frac{\langle +|\sigma_y|-\rangle \langle -|\sigma_z|+\rangle}{2R^2} = 0 \quad (109)$$

$$\vec{B}_{+y}(\vec{R}) = \text{Im} \frac{\langle +|\sigma_z|-\rangle \langle -|\sigma_x|+\rangle}{2R^2} = 0 \quad (110)$$

$$\vec{B}_{+z}(\vec{R}) = \text{Im} \frac{\langle +|\sigma_x|-\rangle \langle -|\sigma_y|+\rangle}{2R^2} = \frac{1}{2R^2} \quad (111)$$

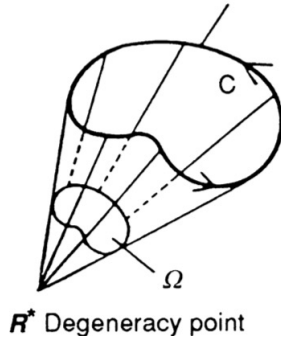
即

$$\vec{B}_+(\vec{R}) = \frac{\vec{R}}{2R^3} \quad (112)$$

这种形式的磁场是由磁单极 $\rho_m(\vec{R}) = -\frac{1}{2}\delta(\vec{R})$ 引起的, 而自然界中并不存在磁单极, 因此也不存在形如上式的磁场。

Anyway, 我们来计算 Berry's phase

$$\gamma_+(C) = -\gamma_-(C) = -\iint_S \vec{B}_+(\vec{R}) \cdot d\vec{S} = -\iint_S \frac{\vec{R}}{2R^3} \cdot d\vec{S} = -\frac{1}{2}\Omega(C) \quad (113)$$



4 Aharanov-Ananda Phase

接下来讨论和 Berry's phase 关系很大的一个概念: Aharanov-Ananda Phase。
哈密顿量变化很慢时,

$$|\psi(t)\rangle \doteq \exp[i\gamma_n(t)] \exp[i\alpha_n(t)] |n(t)\rangle \quad (114)$$

当 $H(T) = H(0)$ 时

$$\begin{aligned} |\psi(T)\rangle &\doteq \exp[i\gamma_n(C)] \exp[i\alpha_n(t)] |n(T)\rangle \\ &= \exp[i\gamma_n(C)] \exp[i\alpha_n(t)] |n(0)\rangle \\ &= \exp[i\gamma_n(C)] \exp[i\alpha_n(t)] |\psi(0)\rangle \end{aligned} \quad (115)$$

$$\alpha_n(t) = -\frac{1}{\hbar} \int_0^t E_n(t') dt' \quad (116)$$

将 $|\phi(t)\rangle$ 写成

$$|\psi(t)\rangle = e^{i\phi} |\psi(0)\rangle \quad (\phi \neq \alpha) \quad (117)$$

接下来讨论 $|\psi(t)\rangle$ 不在一个态上演化。由于 $|\psi(t)\rangle$ 不在一个态上演化, 动力学因子 α 不再写成如上形式, 因此我们需要重新定义动力学因子:

$$\alpha(T) = -\frac{1}{\hbar} \int_0^T dt \langle \psi(x) | H(t) | \psi(t) \rangle \quad (118)$$

因此几何因子

$$\gamma = \phi - \alpha \quad (119)$$

定义

$$|\tilde{\psi}(t)\rangle = e^{-if(t)} |\psi(t)\rangle \quad (120)$$

要求

$$f(T) - f(0) = \phi \quad (121)$$

将 $|\psi(t)\rangle = e^{if(t)} |\tilde{\psi}(t)\rangle$ 代入薛定谔方程

$$i\hbar \frac{\partial}{\partial t} |\psi(t)\rangle = i\hbar \frac{\partial}{\partial t} [e^{if(t)} |\tilde{\psi}(t)\rangle] = -\hbar \dot{f}(t) |\psi(t)\rangle + i\hbar e^{if(t)} \frac{\partial}{\partial t} |\tilde{\psi}(t)\rangle = H(t) |\psi(t)\rangle \quad (122)$$

方程两边作用 $\langle \psi(t) |$

$$-\hbar \dot{f}(t) + i\hbar \langle \psi(t) | e^{if(t)} \frac{\partial}{\partial t} |\tilde{\psi}(t)\rangle = -\hbar \dot{f}(t) + i\hbar \langle \tilde{\psi}(t) | \frac{\partial}{\partial t} |\tilde{\psi}(t)\rangle = \langle \psi(t) | H(t) | \psi(t) \rangle \quad (123)$$

方程两边积分 $\int_0^t dt'$

$$f(T) - f(0) = \int_0^T dt' \langle \tilde{\psi}(t') | i \frac{\partial}{\partial t'} |\tilde{\psi}(t')\rangle - \frac{1}{\hbar} \int_0^T dt' \langle \psi(t') | H(t') | \psi(t') \rangle \quad (124)$$

即

$$\phi = \int_0^T dt' \langle \tilde{\psi}(t') | i \frac{\partial}{\partial t'} |\tilde{\psi}(t')\rangle + \alpha \quad (125)$$

故

$$\alpha(T) = -\frac{1}{\hbar} \int_0^T dt \langle \psi(t) | H(t) | \psi(t) \rangle \quad (126)$$

$$\gamma(T) = \int_0^T dt \langle \tilde{\psi}(t) | i \frac{\partial}{\partial t} | \tilde{\psi}(t) \rangle \quad (127)$$

当哈密顿量 $H(t) = H$ 时, γ 也不为 0。

假定存在特殊情况 $H(t) = H$, 且 $|\psi(t)\rangle$ 在两个态上演化

$$H |\psi_{\pm}\rangle = E_{\pm} |\psi_{\pm}\rangle \quad (128)$$

设

$$|\psi(0)\rangle = \cos \frac{\theta}{2} |\psi_{-}\rangle + \sin \frac{\theta}{2} |\psi_{+}\rangle \quad (129)$$

$$\begin{aligned} |\psi(t)\rangle &= e^{-\frac{iE_{-}t}{\hbar}} \cos \frac{\theta}{2} |\psi_{-}\rangle + e^{-\frac{iE_{+}t}{\hbar}} \sin \frac{\theta}{2} |\psi_{+}\rangle \\ &= e^{-\frac{iE_{-}t}{\hbar}} \left[\cos \frac{\theta}{2} |\psi_{-}\rangle + e^{-\frac{i(E_{+}-E_{-})t}{\hbar}} \sin \frac{\theta}{2} |\psi_{+}\rangle \right] \end{aligned} \quad (130)$$

在 T 时刻刚好一个周期, 有

$$\frac{(E_{+} - E_{-})T}{\hbar} = 2\pi \quad (131)$$

$$|\psi(T)\rangle = e^{-\frac{iE_{-}T}{\hbar}} \left[\cos \frac{\theta}{2} |\psi_{-}\rangle + \sin \frac{\theta}{2} |\psi_{+}\rangle \right] = e^{-\frac{iE_{-}T}{\hbar}} |\psi(0)\rangle \quad (132)$$

total phase

$$\phi = -\frac{E_{-}T}{\hbar} \quad (133)$$

Dynamic phase

$$\begin{aligned} \alpha &= -\frac{1}{\hbar} \int_0^T \langle \psi(t) | H(t) | \psi(t) \rangle dt \\ &= -\frac{1}{\hbar} \int_0^T \left[\cos \frac{\theta}{2} \langle \psi_{-} | + e^{\frac{i(E_{+}-E_{-})t}{\hbar}} \sin \frac{\theta}{2} \langle \psi_{+} | \right] H(t) \left[\cos \frac{\theta}{2} |\psi_{-}\rangle + e^{-\frac{i(E_{+}-E_{-})t}{\hbar}} \sin \frac{\theta}{2} |\psi_{+}\rangle \right] dt \\ &= -\frac{1}{\hbar} \int_0^T \left(\cos^2 \frac{\theta}{2} E_{-} + \sin^2 \frac{\theta}{2} E_{+} \right) dt \\ &= -\frac{1}{\hbar} \left(\cos^2 \frac{\theta}{2} E_{-} + \sin^2 \frac{\theta}{2} E_{+} \right) T \end{aligned} \quad (134)$$

A-A phase

$$\begin{aligned} \gamma &= \phi - \alpha \\ &= -\frac{E_{-}T}{\hbar} + \frac{1}{\hbar} \left(\cos^2 \frac{\theta}{2} E_{-} + \sin^2 \frac{\theta}{2} E_{+} \right) T \\ &= \frac{1}{\hbar} (E_{+} - E_{-})T \left(\frac{1 - \cos \theta}{2} \right) = \pi(1 - \cos \theta) \neq 0 \end{aligned} \quad (135)$$

当 $|\psi(t)\rangle$ 在一个态上演化时, 即 $\theta = 0$ 或 $\theta = \pi$ 时

$$\gamma = \begin{cases} 0 & \theta = 0 \\ 2\pi & \theta = \pi \end{cases} \quad (136)$$

因此在一般情况下, $\gamma \neq 0$ 。

Example: Quantum Harmonic Oscillator

$$H = \frac{p^2}{2m} + \frac{1}{2}m\omega^2 x^2 \quad (137)$$

$$E_n = (n + \frac{1}{2})\hbar\omega \quad (138)$$

$$|\psi(t=0)\rangle = \cos \frac{\theta}{2} |\psi_0\rangle + \sin \frac{\theta}{2} |\psi_1\rangle \quad (139)$$

$$\begin{aligned} |\psi(t)\rangle &= e^{-\frac{i\omega t}{2}} \cos \frac{\theta}{2} |\psi_0\rangle + e^{-\frac{i3\omega t}{2}} \sin \frac{\theta}{2} |\psi_1\rangle \\ &= e^{-\frac{i\omega t}{2}} \left(\cos \frac{\theta}{2} |\psi_0\rangle + e^{-i\omega t} \sin \frac{\theta}{2} |\psi_1\rangle \right) \end{aligned} \quad (140)$$

又

$$T = \frac{2\pi}{\omega} \quad (141)$$

因此

$$|\psi(T)\rangle = e^{-i\pi} \left(\cos \frac{\theta}{2} |\psi_0\rangle + \sin \frac{\theta}{2} |\psi_1\rangle \right) = e^{-i\pi} |\psi(0)\rangle \quad (142)$$

Total phase

$$\phi = -\pi \quad (143)$$

Dynamic phase

$$\begin{aligned} \alpha &= -\frac{1}{\hbar} \int_0^T \langle \psi(t) | H(t) | \psi(t) \rangle dt \\ &= -\frac{1}{\hbar} \int_0^T \left[\cos \frac{\theta}{2} \langle \psi_0 | + e^{\frac{i\omega t}{2}} \sin \frac{\theta}{2} \langle \psi_1 | \right] H(t) \left[\cos \frac{\theta}{2} |\psi_0\rangle + e^{-\frac{i\omega t}{2}} \sin \frac{\theta}{2} |\psi_1\rangle \right] dt \\ &= -\frac{1}{2} \left(\cos^2 \frac{\theta}{2} + 3 \sin^2 \frac{\theta}{2} \right) \omega T \\ &= -\pi(2 - \cos \theta) \end{aligned} \quad (144)$$

A-A phase

$$\gamma = \phi - \alpha = -\pi + \pi(2 - \cos \theta) = \pi(1 - \cos \theta) \neq 0 \quad (145)$$

当 $|\psi(t)\rangle$ 在一个态上演化时, 即 $\theta = 0$ 或 $\theta = \pi$ 时

$$\gamma = \begin{cases} 0 & \theta = 0 \\ 2\pi & \theta = \pi \end{cases} \quad (146)$$

5 Gauge Invariance of Quantum Mechanics 量子力学中的规范不变性

我们首先来回顾电动力学中的规范不变性。在电动力学中

$$\vec{E} = -\nabla V - \frac{1}{c} \frac{\partial \vec{A}}{\partial t} \quad (147)$$

$$\vec{B} = \nabla \times \vec{A} \quad (148)$$

通过规范变化 (gauge transformation)

$$V \rightarrow V' = V - \frac{1}{c} \frac{\partial \chi}{\partial t} \quad (149)$$

$$\vec{A} \rightarrow \vec{A}' = \vec{A} + \nabla\chi \quad (150)$$

我们得到

$$\vec{E} \rightarrow \vec{E} \quad \vec{B} \rightarrow \vec{B} \quad (151)$$

接下来我们来说明量子力学中的规范不变性。量子力学中的规范不变性指薛定谔方程在规范变化下形式不变。

$$\left[\frac{\vec{p}^2}{2m} + V(\vec{r}, t) \right] \psi(\vec{r}, t) = i\hbar \frac{\partial}{\partial t} \psi(\vec{r}, t) \quad (152)$$

考虑电场与磁场，薛定谔方程写成

$$\left[\frac{1}{2m} \left(\vec{p} - q \frac{\vec{A}}{c} \right)^2 + V(\vec{r}, t) \right] \psi(\vec{r}, t) = i\hbar \frac{\partial}{\partial t} \psi(\vec{r}, t) \quad (153)$$

其中算符 \vec{p} 为正则动量 (canonical momentum), $\vec{p} - q \frac{\vec{A}}{c}$ 为运动动量 (kinetic momentum)。进行规范变化

$$V \rightarrow V' = V - \frac{q}{c} \frac{\partial}{\partial t} \chi \quad (154)$$

$$\vec{A} \rightarrow \vec{A}' = \vec{A} + \nabla\chi \quad (155)$$

在规范变化下，波函数多了一个相位因子

$$\psi \rightarrow \psi' = e^{\frac{iq\chi}{\hbar c}} \psi \quad (156)$$

$$\left[\frac{1}{2m} \left(\vec{p} - q \frac{\vec{A}'}{c} \right)^2 + V'(\vec{r}, t) \right] \psi'(\vec{r}, t) = i\hbar \frac{\partial}{\partial t} \psi'(\vec{r}, t) \quad (157)$$

接下来证明规范变化后的薛定谔方程与变化前的薛定谔方程等价。

$$\begin{aligned} & \left(\vec{p} - q \frac{\vec{A}'}{c} \right) f(\vec{r}, t) e^{\frac{iq\chi(\vec{r}, t)}{\hbar c}} \\ &= \left(\frac{\hbar}{i} \nabla - q \frac{\vec{A} + \nabla\chi}{c} \right) f(\vec{r}, t) e^{\frac{iq\chi(\vec{r}, t)}{\hbar c}} \\ &= \left[\frac{\hbar}{i} \nabla f(\vec{r}, t) \right] e^{\frac{iq\chi(\vec{r}, t)}{\hbar c}} + \frac{q}{c} \nabla\chi f(\vec{r}, t) e^{\frac{iq\chi(\vec{r}, t)}{\hbar c}} - q \frac{\vec{A}}{c} f(\vec{r}, t) e^{\frac{iq\chi(\vec{r}, t)}{\hbar c}} - \frac{q}{c} \nabla\chi f(\vec{r}, t) e^{\frac{iq\chi(\vec{r}, t)}{\hbar c}} \\ &= \left[\left(\vec{p} - q \frac{\vec{A}}{c} \right) f(\vec{r}, t) \right] e^{\frac{iq\chi(\vec{r}, t)}{\hbar c}} \end{aligned} \quad (158)$$

$f(\vec{r}, t)$ 为任一函数。用该关系作用 $\psi'(\vec{r}, t)$ 两次，得

$$\left(\vec{p} - q \frac{\vec{A}'}{c} \right)^2 \psi'(\vec{r}, t) = \left[\left(\vec{p} - q \frac{\vec{A}}{c} \right)^2 \psi(\vec{r}, t) \right] e^{\frac{iq\chi}{\hbar c}} \quad (159)$$

$$i\hbar \frac{\partial}{\partial t} \psi'(\vec{r}, t) = i\hbar \frac{\partial}{\partial t} \left[e^{\frac{iq\chi}{\hbar c}} \psi(\vec{r}, t) \right] = \left(-\frac{q}{c} \frac{\partial}{\partial t} \chi \right) \psi'(\vec{r}, t) + e^{\frac{iq\chi}{\hbar c}} i\hbar \frac{\partial}{\partial t} \psi(\vec{r}, t) \quad (160)$$

代入 Eq.(157)，得

$$\left[\frac{1}{2m} \left(\vec{p} - q \frac{\vec{A}}{c} \right)^2 + V(\vec{r}, t) - \frac{q}{c} \frac{\partial}{\partial t} \chi \right] \psi'(\vec{r}, t) = \left(-\frac{q}{c} \frac{\partial}{\partial t} \chi \right) \psi'(\vec{r}, t) + e^{\frac{iq\chi}{\hbar c}} i\hbar \frac{\partial}{\partial t} \psi(\vec{r}, t) \quad (161)$$

$$\left[\frac{1}{2m} \left(\vec{p} - q \frac{\vec{A}}{c} \right)^2 + V(\vec{r}, t) \right] e^{\frac{iq\chi}{\hbar c}} \psi(\vec{r}, t) = e^{\frac{iq\chi}{\hbar c}} i\hbar \frac{\partial}{\partial t} \psi(\vec{r}, t) \quad (162)$$

即

$$\left[\frac{1}{2m} \left(\vec{p} - q \frac{\vec{A}}{c} \right)^2 + V(\vec{r}, t) \right] \psi(\vec{r}, t) = i\hbar \frac{\partial}{\partial t} \psi(\vec{r}, t) \quad (163)$$

证毕。

$$\vec{p}f(\vec{r}, t)e^{-\frac{iS(\vec{r}, t)}{\hbar}} = (\vec{p} - \nabla S)f(\vec{r}, t)e^{\frac{iS(\vec{r}, t)}{\hbar}} \quad (164)$$

6 Phase Change due to Scalar Potential $V(t)$ and Vector Potential $\vec{A}(\vec{r})$

在考虑标量势 $V(t)$ 和矢量 $\vec{A}(\vec{r})$ 之前

$$H(t) = H_0(t) \quad (165)$$

$$i\hbar \frac{\partial}{\partial t} \psi_0(\vec{r}, t) = H_0(t) \psi_0(\vec{r}, t) \quad (166)$$

将标量势 $V(t)$ 和矢量 $\vec{A}(\vec{r})$ 分开讨论。

Scalar Potential $V(t)$

$$H(t) = H_0(t) + V(t) \quad (167)$$

$$i\hbar \frac{\partial}{\partial t} \psi(\vec{r}, t) = H(t) \psi(\vec{r}, t) \quad (168)$$

$\psi(\vec{r}, t)$ 和 $\psi_0(\vec{r}, t)$ 的关系

$$\psi(\vec{r}, t) = \psi_0(\vec{r}, t) e^{-\frac{iS(t)}{\hbar}} \quad (169)$$

其中

$$S(t) = \int_{t_0}^t V(t') dt' \quad (170)$$

接下来证明这一关系。

将 $\psi(\vec{r}, t)$ 代入薛定谔方程

$$\begin{aligned} \text{LHS} &= i\hbar \frac{\partial}{\partial t} \psi(\vec{r}, t) = i\hbar \frac{\partial}{\partial t} \left[\psi_0(\vec{r}, t) e^{-\frac{iS(t)}{\hbar}} \right] \\ &= i\hbar \frac{\partial}{\partial t} \psi_0(\vec{r}, t) e^{-\frac{iS(t)}{\hbar}} + \psi_0(\vec{r}, t) e^{-\frac{iS(t)}{\hbar}} \frac{\partial}{\partial t} S(t) \\ &= [H_0(t) + V(t)] \psi_0(\vec{r}, t) e^{-\frac{iS(t)}{\hbar}} \\ &= H\psi(\vec{r}, t) = \text{RHS} \end{aligned} \quad (171)$$

证毕。

Vector Potential $\vec{A}(\vec{r})$

$$H_0(t) \sim \psi_0(\vec{r}, t) \quad i\hbar \frac{\partial}{\partial t} \psi_0(\vec{r}, t) = H_0(t) \psi_0(\vec{r}, t) \quad (172)$$

考虑 $\vec{A}(\vec{r})$

$$H(t) \sim \psi(\vec{r}, t) \quad i\hbar \frac{\partial}{\partial t} \psi(\vec{r}, t) = H(t) \psi(\vec{r}, t) \quad (173)$$

$\psi(\vec{r}, t)$ 和 $\psi_0(\vec{r}, t)$ 的关系

$$\psi(\vec{r}, t) = \psi_0(\vec{r}, t) e^{-\frac{iS(\vec{r})}{\hbar}} \quad (174)$$

其中 Dirac factor

$$S(\vec{r}) = -\frac{q}{c} \int_{\vec{r}_0}^{\vec{r}} \vec{A}(\vec{r}') \cdot d\vec{r}' \quad (175)$$

接下来证明这一关系。

$$H_0 = \frac{\vec{p}^2}{2m} \quad (176)$$

$$\vec{p} e^{-\frac{iS(\vec{r})}{\hbar}} = e^{-\frac{iS(\vec{r})}{\hbar}} (-i\hbar) \nabla \left[-\frac{iS(\vec{r})}{\hbar} \right] = e^{-\frac{iS(\vec{r})}{\hbar}} \frac{q}{c} \nabla \int_{\vec{r}_0}^{\vec{r}} \vec{A}(\vec{r}') \cdot d\vec{r}' = e^{-\frac{iS(\vec{r})}{\hbar}} \frac{q}{c} \vec{A}(\vec{r}) \quad (177)$$

由 Eq.(164) 可得

$$\left[\vec{p} - \frac{q}{c} \vec{A}(\vec{r}) \right] f(\vec{r}, t) e^{-\frac{iS(\vec{r})}{\hbar}} = [\vec{p} f(\vec{r}, t)] e^{-\frac{iS(\vec{r})}{\hbar}} \quad (178)$$

作用两次

$$\frac{1}{2m} \left[\vec{p} - \frac{q}{c} \vec{A}(\vec{r}) \right]^2 \psi_0(\vec{r}, t) e^{-\frac{iS(\vec{r})}{\hbar}} = \frac{1}{2m} [\vec{p}^2 \psi_0(\vec{r}, t)] e^{-\frac{iS(\vec{r})}{\hbar}} \quad (179)$$

代入薛定谔方程

$$\text{LHS} = i\hbar \frac{\partial}{\partial t} \psi(\vec{r}, t) = i\hbar \frac{\partial}{\partial t} \left[\psi_0(\vec{r}, t) e^{-\frac{iS(\vec{r})}{\hbar}} \right] = i\hbar \left[\frac{\partial}{\partial t} \psi_0(\vec{r}, t) \right] e^{-\frac{iS(\vec{r})}{\hbar}} \quad (180)$$

$$\text{RHS} = H \psi(\vec{r}, t) = \frac{1}{2m} \left[\vec{p} - \frac{q}{c} \vec{A}(\vec{r}) \right]^2 \psi_0(\vec{r}, t) e^{-\frac{iS(\vec{r})}{\hbar}} = \frac{1}{2m} [\vec{p}^2 \psi_0(\vec{r}, t)] e^{-\frac{iS(\vec{r})}{\hbar}} \quad (181)$$

由 LHS = RHS 得

$$i\hbar \frac{\partial}{\partial t} \psi_0(\vec{r}, t) = \frac{\vec{p}^2}{2m} \psi_0(\vec{r}, t) \quad (182)$$

证毕。

General Case

$$H_0(t) \sim \psi_0(\vec{r}, t) \quad (183)$$

将两种情况结合起来

$$H(t) \sim \psi(\vec{r}, t) \quad (184)$$

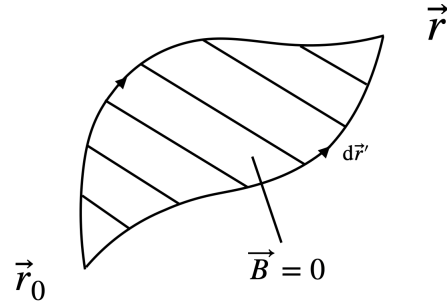
$$\psi(\vec{r}, t) = \psi_0(\vec{r}, t) e^{-\frac{iS(\vec{r}, t)}{\hbar}} \quad (185)$$

其中

$$S(\vec{r}, t) = \int_{t_0}^t V(t') dt' - \frac{q}{c} \int_{\vec{r}_0}^{\vec{r}} \vec{A}(\vec{r}') \cdot d\vec{r}' \quad (186)$$

$\int_{\vec{r}_0}^{\vec{r}} \vec{A}(\vec{r}') \cdot d\vec{r}'$ 可能依赖路径，接下来我们来研究什么情况下 $\int_{\vec{r}_0}^{\vec{r}} \vec{A}(\vec{r}') \cdot d\vec{r}'$ 与路径有关。

(1) 图中区域 $\vec{B}(\vec{r}) = 0$



$$\nabla \times \vec{A}(\vec{r}) = \vec{B}(\vec{r}) = 0 \quad (187)$$

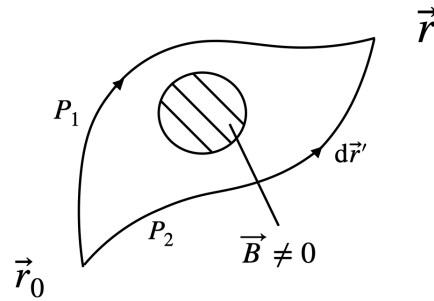
因此 \vec{A} 可以写成

$$\vec{A}(\vec{r}) = \nabla \chi(\vec{r}) \quad (188)$$

$$\int_{\vec{r}_0}^{\vec{r}} \vec{A}(\vec{r}') \cdot d\vec{r}' = \int_{\vec{r}_0}^{\vec{r}} \nabla \chi(\vec{r}') \cdot d\vec{r}' = 0 \quad (189)$$

与路径无关

(2) 图中区域 $\vec{B}(\vec{r}) \neq 0$



$$\begin{aligned} \int_{\vec{r}_0(P_1)}^{\vec{r}} \vec{A}(\vec{r}') \cdot d\vec{r}' + \int_{\vec{r}(P_2)}^{\vec{r}_0} \vec{A}(\vec{r}') \cdot d\vec{r}' &= \oint \vec{A}(\vec{r}') \cdot d\vec{r}' \\ &= \iint_S [\nabla \times \vec{A}(\vec{r}')] \cdot d\vec{S} = \iint_S \vec{B}(\vec{r}') \cdot d\vec{S} = \Phi \neq 0 \end{aligned} \quad (190)$$

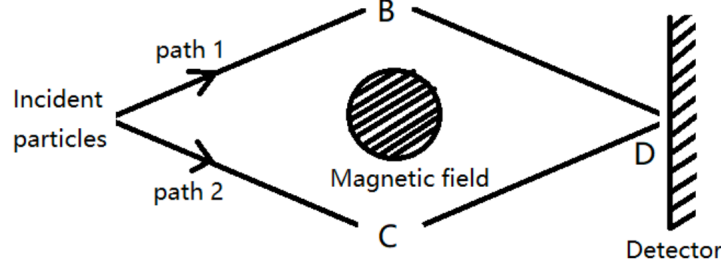
故

$$\int_{\vec{r}_0(P_1)}^{\vec{r}} \vec{A}(\vec{r}') \cdot d\vec{r}' \neq \int_{\vec{r}_0(P_2)}^{\vec{r}} \vec{A}(\vec{r}') \cdot d\vec{r}' \quad (191)$$

积分与路径有关。

7 Aharanov-Bohm Effect

Magnetic A-B Effect Experiment(1959)



设在路径 A-B-D 中, $|\psi_2| \ll |\psi_1|$; 在路径 A-C-D 中, $|\psi_1| \ll |\psi_2|$ 。

当 $\vec{B} = 0$ 时

$$\psi_0(\vec{r}, t) \sim \psi_1(\vec{r}, t) + \psi_2(\vec{r}, t) \quad (192)$$

当 $\vec{B} \neq 0$ 时

$$\begin{aligned} \psi(\vec{r}, t) &\sim \exp\left[\frac{iq}{\hbar c} \int_{\vec{r}_0}^{\vec{r}} \vec{A}(\vec{r}') \cdot d\vec{r}'\right] \psi_0(\vec{r}, t) \\ &= \exp\left[\frac{iq}{\hbar c} \int_{\vec{r}_0(P_1)}^{\vec{r}} \vec{A}(\vec{r}') \cdot d\vec{r}'\right] \psi_1(\vec{r}, t) + \exp\left[\frac{iq}{\hbar c} \int_{\vec{r}_0(P_2)}^{\vec{r}} \vec{A}(\vec{r}') \cdot d\vec{r}'\right] \psi_2(\vec{r}, t) \\ &= \exp\left[\frac{iq}{\hbar c} \int_{\vec{r}_0(P_1)}^{\vec{r}} \vec{A}(\vec{r}') \cdot d\vec{r}'\right] \left\{ \psi_1(\vec{r}, t) + \psi_2(\vec{r}, t) \exp\left[\frac{iq}{\hbar c} \left(\int_{\vec{r}_0(P_2)}^{\vec{r}} - \int_{\vec{r}_0(P_1)}^{\vec{r}} \right) \vec{A}(\vec{r}') \cdot d\vec{r}' \right] \right\} \\ &= \exp\left[\frac{iq}{\hbar c} \int_{\vec{r}_0(P_1)}^{\vec{r}} \vec{A}(\vec{r}') \cdot d\vec{r}'\right] \left\{ \psi_1(\vec{r}, t) + \psi_2(\vec{r}, t) \exp\left[-\frac{iq}{\hbar c} \oint \vec{A}(\vec{r}') \cdot d\vec{r}'\right] \right\} \\ &\sim \psi_1(\vec{r}, t) + \psi_2(\vec{r}, t) \exp\left(-\frac{iq}{\hbar c} \Phi\right) \end{aligned} \quad (193)$$

$$\begin{aligned} |\psi(\vec{r}, t)|^2 &= \left[\psi_1^\dagger(\vec{r}, t) + \psi_2^\dagger(\vec{r}, t) e^{\frac{iq}{\hbar c} \Phi} \right] \left[\psi_1(\vec{r}, t) + \psi_2(\vec{r}, t) e^{-\frac{iq}{\hbar c} \Phi} \right] \\ &= |\psi_1|^2 + |\psi_2|^2 + \psi_1^\dagger \psi_2 e^{-\frac{iq}{\hbar c} \Phi} + \psi_1 \psi_2^\dagger e^{\frac{iq}{\hbar c} \Phi} \\ &= |\psi_1|^2 + |\psi_2|^2 + 2|\psi_1 \psi_2| \cos\left(\phi_1 - \phi_2 + \frac{q\Phi}{\hbar c}\right) \end{aligned} \quad (194)$$

Φ 和 \vec{B} 有关

$$\delta\phi = \frac{q\Phi}{\hbar c} \quad (195)$$

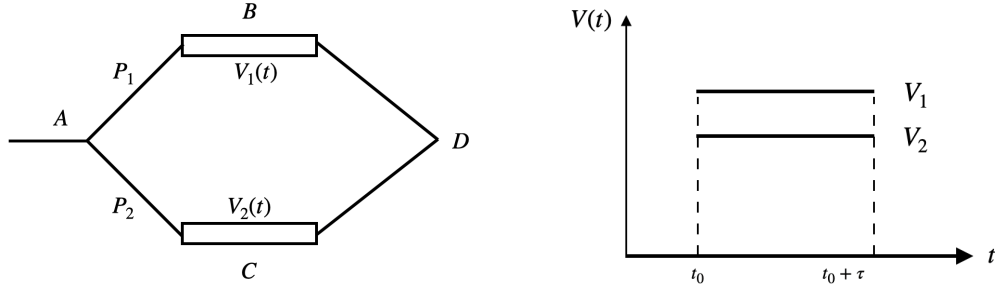
当经过一个周期即

$$\frac{q\Delta\Phi}{\hbar c} = 2\pi \quad (196)$$

$$\Delta\Phi = \frac{2\pi\hbar c}{q} \quad (197)$$

在经典电动力学中, \vec{A} 无物理意义, 而在量子力学中 \vec{A} 能够显现出来, 即 A-B 效应。

Electric Aharonov-Bohm Effect



设在路径 A-B-D 中, $|\psi_2| \ll |\psi_1|$; 在路径 A-C-D 中, $|\psi_1| \ll |\psi_2|$ 。

当 $V_1(t) = 0, V_2(t) = 0$ 时

$$\psi_0(\vec{r}, t) \sim \psi_1(\vec{r}, t) + \psi_2(\vec{r}, t) \quad (198)$$

当 $V_1(t) \neq 0, V_2(t) \neq 0$ 时

$$\begin{aligned} \psi(\vec{r}, t) &\sim \exp\left[\frac{i}{\hbar} \int_{(P_1)}^t V(t') \cdot dt'\right] \psi_1(\vec{r}, t) + \exp\left[\frac{i}{\hbar} \int_{(P_2)}^t V(t') \cdot dt'\right] \psi_2(\vec{r}, t) \\ &\sim \psi_1(\vec{r}, t) + \psi_2(\vec{r}, t) \exp\left\{i \left[\int_{(P_2)}^t V(t') \cdot dt' - \int_{(P_1)}^t V(t') \cdot dt' \right] \frac{1}{\hbar}\right\} \end{aligned} \quad (199)$$

设 $V_1(t), V_2(t)$ 是如上图的函数

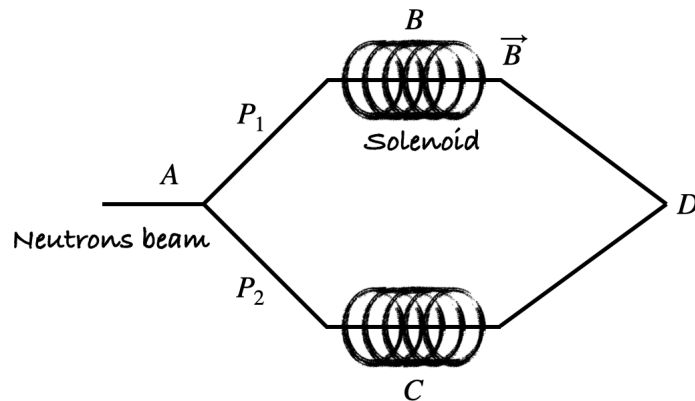
$$\begin{aligned} \psi(\vec{r}, t) &\sim \psi_1(\vec{r}, t) + \psi_2(\vec{r}, t) \exp\left[i (V_2 - V_1) \frac{\tau}{\hbar}\right] \\ &= \psi_1(\vec{r}, t) + \psi_2(\vec{r}, t) e^{-\frac{i\Delta V\tau}{\hbar}} \quad (\Delta V = V_1 - V_2) \end{aligned} \quad (200)$$

$$\begin{aligned} |\psi(\vec{r}, t)|^2 &= \left[\psi_1^\dagger(\vec{r}, t) + \psi_2^\dagger(\vec{r}, t) e^{\frac{i\Delta V\tau}{\hbar}} \right] \left[\psi_1(\vec{r}, t) + \psi_2(\vec{r}, t) e^{-\frac{i\Delta V\tau}{\hbar}} \right] \\ &= |\psi_1|^2 + |\psi_2|^2 + \psi_1^\dagger \psi_2 e^{-\frac{i\Delta V\tau}{\hbar}} + \psi_1 \psi_2^\dagger e^{\frac{i\Delta V\tau}{\hbar}} \\ &= |\psi_1|^2 + |\psi_2|^2 + 2|\psi_1 \psi_2| \cos\left(\phi_1 - \phi_2 + \frac{\Delta V\tau}{\hbar}\right) \end{aligned} \quad (201)$$

$$\Delta\phi = \frac{\Delta V\tau}{\hbar} \quad (202)$$

由于存在技术上的困难, 目前在实验中还未观察到这一现象。

Scalar Aharonov-Bohm Effect Experiment(1992)



$$H = \frac{\vec{p}^2}{2m} - \vec{\mu} \cdot \vec{B} = \frac{p^2}{2m} - \mu_B \vec{\sigma} \cdot \vec{B} \quad (203)$$

$$V = \mu_B \vec{\sigma} \cdot \vec{B} \quad (204)$$

假设

$$\vec{B}_1 = \hat{e}_z B \quad \vec{B}_2 = 0 \quad (205)$$

则

$$\Delta V = \mu_B B \sigma \quad (206)$$

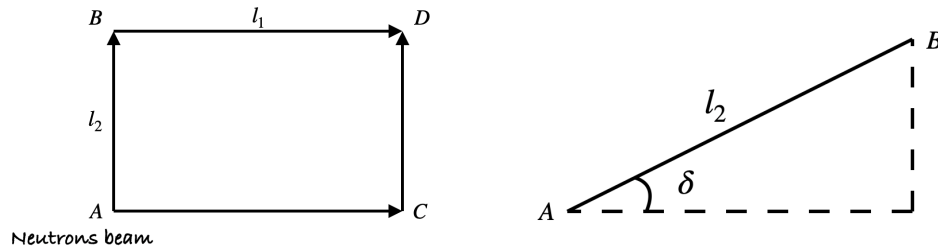
在 $t_0 \rightarrow t_0 + \tau$ 加磁场 \vec{B} , 观察到

$$\Delta\phi = \frac{\Delta V \tau}{\hbar} \quad (207)$$

8 Gravitationally Induced Phase

Experiment(1975)

引力和量子力学是相洽的吗? 我们来设计一个实验观察引力在量子力学中的表现。



将 BD 边抬高

$$\Delta V = m_n g l_2 \sin \delta \quad (208)$$

如果这个势能能够在量子力学中表现出来且被观察到, 那么会引起相位变化

$$\Delta\phi = \frac{\Delta V T}{\hbar} \quad (209)$$

设中子速度 \vec{v}

$$T = \frac{l_1}{v} = \frac{l_1}{\hbar/m_n \lambda} = \frac{l_1 m_n \lambda}{\hbar} \quad (210)$$

则

$$\Delta\phi = \frac{\Delta V T}{\hbar} = \frac{m_n^2 g l_1 l_2 \lambda \sin \delta}{\hbar^2} \quad (211)$$

若 $\hbar \rightarrow 0$, 则 $\Delta\phi \rightarrow \infty$, 无任何效应。因此这是纯粹的量子力学效应。

实验中 $l_1 l_2 = 10 \text{cm}^2$, $\lambda = 1.42 \text{\AA}$, 实验结果证实了引力效应可以在量子力学中观察到。

